PTO/SB/21 (09-04 Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a col flection of information unless it displays a valid OMB control number. Application Number 10/768.308 TRANSMITTAL Filing Date 01/30/2004 First Named Inventor **FORM** Buskens et al. Art Unit 2122 **Examiner Name** N/A (to be used for all correspondence after initial filing) Attorney Docket Number LUC-450/Buskens 6-1-1-1-2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Return postcard Request for Refund **Express Abandonment Request** 1 Reference (3 pgs.) Change of Address Form CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Patti & Brill, LLC Signature Printed name Robert J. Brill Date Reg. No. 36,760 08/19/2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 08/19/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Robert J. Brill

Typed or printed name

E UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Buskens et al.

EXAMINER: N/A

SERIAL NO.:

10/768,308

GROUP:

2122

FILED:

01/30/2004

DOCKET:

LUC-450/Buskens 6-1-1-1-2

TITLE:

FIRST AND SECOND MANAGER COMPONENTS THAT PERFORM ONE OR MORE FIRST AND SECOND MANAGEMENT OPERATIONS

ON A SOFTWARE AND/OR HARDWARE ENTITY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request that the reference enclosed and listed on the attached sheet be considered by the Examiner.

The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentablity as defined in § 1.56(b).

No fee is believed due for the filing of this Information Disclosure Statement. In the event of under or overpayment of a fee at anytime during the prosecution of the subject application, the Commissioner is hereby authorized to charge or credit the Patti & Brill, LLC Deposit Account No. 50-1941 the amount necessary to correct the error.

Any questions should be directed to the undersigned.

CERTIFICATE OF MAILING

Respectfully submitted,

I hereby certify that this correspondence is being

deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 19,

2005.

Robert J. Brill Reg. No. 36,760

Patti & Brill, LLC

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PTO/SB/08B (07-05)

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STATEMENT BY APPLICANT Art Unit 2122 (Use as many sheets as necessary) **Examiner Name** N/A Attorney Docket Number Sheet 1 1 LUC-450/Buskens 6-1-1-1-2

F	1011-	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	1	LUCENT TECHNOLOGIES INC., "Statement Disclosing Information About Development and Use of Technology", 2002 to 2004, 3 pgs., Murray Hill, NJ, USA				
4.00						

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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